

MAR 08 2002

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Angela M.I. Lam, Lorne R. Palmer, and Peiter R. Cullis

Application No./Patent No.: 09/839,707

Filed/Issue Date: April 20, 2001

Entitled: METHODS OF ENHANCING SPLP-MEDIATED TRANSFECTION USING ENDOSOMAL MEMBRANE DESTABILIZERS

The University of British Columbia, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Feb 14 02
Date

David P. Jones
Signature
David P. Jones
Associate Director
Industry Liaison

Title

RECEIVED

MAR 12 2002

TECH CENTER 1600/2900

09839707 044702

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1255881 v1

COPY

Attorney Docket No.: 16303-008110

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Angela M.I. Lam, of 718 W. 61st Avenue, Vancouver, B.C., Canada V6P 2B5, Lorne R. Palmer, of 1950 West 8th Avenue, Apt. 209, Vancouver, B.C., Canada V6J 1W3, and Pieter R. Cullis, of 3272 W. 1st Avenue, Vancouver, B.C., Canada V6R 1H4, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS OF ENHANCING SPLP-MEDIATED
TRANSFECTION USING ENDOSOMAL MEMBRANE
DESTABILIZERS

Filing Date: April 20, 2001

Application No.: 09/839,707; and

WHEREAS, The University of British Columbia, located at University-Industry Liaison Office, IRC 331-2194, Health Sciences Mall, Vancouver, B.C., Canada V6T 1Z3, hereinafter referred to as "ASSIGNEE," is desirous of acquiring ASSIGNORS' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignees, and Assignees' successors and assigns, all their right, title and interest in and to the said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignees as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

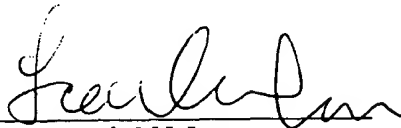
COPY

202570 2025860

COPY

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: Jan 17, 2002

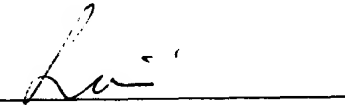

Angela M.I. Lam

SIGNATURE WITNESSED BY:

(1) Dated: Jan 17, 2002



(2) Dated: Jan 20 / 2002

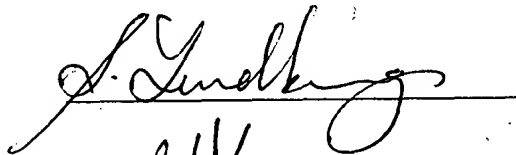


Dated: Jan. 15/02

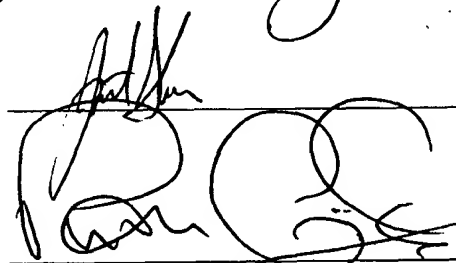

Lorne R. Palmer

SIGNATURE WITNESSED BY:

(1) Dated: Jan. 15/02



(2) Dated: Jan 15/02

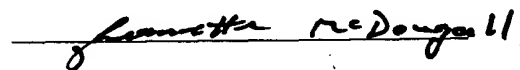


Dated: Jan 15, 02

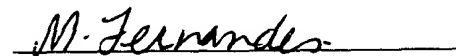
Pieter R. Cullis

SIGNATURE WITNESSED BY:

(1) Dated: Jan. 15/02



(2) Dated: Jan. 15/02



COPY

202110 2025886D



Creation date: 01-08-2003
Indexing Officer: LBUI2 - LUONG BUI
Team: OIPEBackFileIndexing
Dossier: 09839707

Legal Date: 02-04-2002

No.	Doccode	Number of pages
1	LET.	8
2	DRW	23
3	OATH	1
4	XT/	1

Total number of pages: 33

Remarks:

Order of re-scan issued on